

Electronic Contract Note (ECN) – Declaration

To,

Motilal Oswal Financial Services Ltd.**TM ID:** NCDEX: 1240, MCX: 55930, BSE:446, NSE:10412

Palm Spring Centre, 2nd Floor, Palm Court Complex,

New Link Road, Malad (West), Mumbai - 400 064.

Dear Sir,

I, _____ a client with member M/s. Motilal Oswal Financial Services Ltd of National Commodity & Derivatives Exchange Ltd. (NCDEX), Multi Commodity Exchange of India Ltd. (MCX), Bombay Stock Exchange Ltd. (BSE) & The National Stock Exchange of India Ltd. (NSE) Exchange undertake as follows:

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is* _____. This has been created by me and not by someone else.
- I am aware that this declaration form should be in English or in any other language known to me.
- I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.

The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

*(The email id must be written in own handwriting of the client.)

Client Name:			
Unique Client Code:		PAN:	
Address:			
Signature of the client			
Date:		Place:	
Verification of the client signature done by			
Name of the designated officer of Motilal Oswal Financial Services Ltd: _____			
Signature			

To,
 Motilal Oswal Financial Services Ltd. (MOFSL)
 2nd Floor, Palm Spring Centre, Palm Court Complex,
 New Link Road, Malad (West), Mumbai 400064.

Date:

Dear Sir / Madam,











Sub: Application to trade in Additional segments / Exchanges with MOFSL

Ref: Client Code: _____ PAN No. _____

I / We am/are registered with you as a client for execution of trades in one or more Segments like Capital Market / Equity Derivative / Currency Derivatives/ Commodity Derivative /Debt / Mutual fund segment of Bombay Stock Exchange (BSE) / National Stock Exchange (NSE) / Multi Commodity Exchange (MCX) / National Commodity & Derivatives Exchange Limited (NCDEX).

1. I / We wish to commence trading in following segments where I / we are currently not registered and are providing authorization through this consent letter as follows:-

* I / We Have signed the relevant boxes, where I / we wish to trade. The Exchange / Segment not chosen are struck off by putting the mark 'X'.

Exchange	Segment	Signature First Holder	Signature Second Holder	Signature Third Holder
BSE NSE	Cash			
	F&O			
	Currency			
	Debt			
	Mutual Fund			
	Margin Trading Facility (MTF)			
	Securities Lending & Borrowing (SLB)			
MCX NCDEX BSE NSE	Commodity - Futures / Options / Options on Commodity Futures			
Name of Client				

If you do not wish to trade on any Exchange or any of its segments, please mention here _____.

(In case of Non-individual clients, affix relevant Company Stamp along with Signatories who has been authorized to sign- (This consent letter should be signed in the same order as it has been signed in the original POA)

2. I / We confirm that all details including correspondence address and contact details, income details as submitted in the KYC or any time thereafter modified by me/us, in MOFSL records are correct as on date for any communication with me / us. In case of any changes therein, I undertake to update the same immediately.

3. I / We confirm having received, read and understood the contents of the Standard Documents i.e. Rights and Obligations document(s), Risk Disclosure Document (RDD), Guidance Notes, Risk Disclosure Documents for Options, Do's and Don'ts for Investors and also other documents i.e. Voluntary Clauses, Policies & Procedures, Rights & Obligations (For Margin Trading Facility / Securities lending & Borrowing) etc. which are also made available in my / our client login for my understanding for dealing in Equities / Derivatives / Commodities / other segments. I / We have also been informed that the said standard set of documents as mentioned above has been displayed for information on the Website of Motilal Oswal Financial Services Ltd. I/We do hereby state and declare that I/we have read the same and understood the contents there of and shall bound by such provisions as outlined in these documents.

4. I / We confirm that I / We have not been debarred by SEBI / Exchanges / Other Regulatory authorities for trading in Capital / Derivatives / Securities / Commodities markets and no action has been initiated against me /us.

5. I/We understand that my Client Code will remain the same & all trades in NSE, BSE, MCX, NCDEX (as per my / our above selection of Exchange & segment) will be done using the same Client Code.

6. I / We understand that the Know Your Client (KYC), mandatory documents and all other related voluntary documents like Power of Attorney, Running Account Authorisation, Risk Profiling Questionnaire, Family Declaration, Authorisation for Trading Account, FATCA Declaration, Nomination Form, Charges structure, Consent letter for Electronic Contract Note / Statement of Accounts / Demat Transaction statements etc. where ever applicable executed at the time of registering me/us or thereafter as a client under the above client code, applies mutatis mutandis to trade in above selected Exchange & segment(s).

7. In term of executed POA, if any, for my ease of operations, I / We authorize you to pledge the securities in favour of the MOFSL / re-pledge the said securities in favour of the Clearing Member and / or Clearing Corporation for limited purpose of meeting any form of margin obligations. Also, I/ we for our convenience allows MOFSL to instruct DP to initiate pledge procedure for further action of margin pledge / margin funding pledge. Further, I/ we agree to allow MOFSL to un-pledge / invoke said pledged / re-pledged shares for meeting our pay- in / settlement obligation (s) for trading in above selected Exchange & segments(s) also.

8. I/We understand that following brokerage tariff structure would apply for selected segments / Exchanges

Segments	Transaction Type	Table No.	Brokerage Rate		Minimum Brokerage	
F&O & Currency Derivative Segment (BSE & NSE)	Future		%		Rs.	
	Options		%		Rs.	
Commodity Derivatives (MCX,NCDEX,BSE & NSE)	Future		%		Rs.	
	Options		%		Rs.	
Mutual Fund / Debt (BSE & NSE)	Liquid / STP / Bond / Debt / Balanced Equity etc.					
SLBM	Borrowing / Lending					

9. I/We confirm that below are my GST registration details:

GST Number:	
GST Implementation Location:	

10. In case of commodity account opening, I/ We declare that, I /We fall under below client category depending upon my/our proposed nature of my/our activity against each product type (Please tick for appropriate category)

Commercial - <input type="checkbox"/>	Non Commercial - <input type="checkbox"/>
Product type	Product type
Bullion - <input type="checkbox"/>	Bullion - <input type="checkbox"/>
Base Metals - <input type="checkbox"/>	Base Metals- <input type="checkbox"/>
Energy - <input type="checkbox"/>	Energy- <input type="checkbox"/>
Agri-Commodities- <input type="checkbox"/>	Agri-Commodities- <input type="checkbox"/>

Note: 1. COMMERCIAL: Commercial Client includes Value Chain/Physical Market Participant/Exporter/Importer/having direct /indirect Exposure to the underlying commodity.
2. NON-COMMERCIAL: Non-Commercial client includes Trader/ Arbitrager.

Also as required by regulations, I / We shall provide commodity wise classification also to MOFSL separately.

11. I/We give my consent to send Contract Notes via electronic mode on my registered email id in MOFSL records. I/ We are aware that non- receipt of bounced mail notification by the member shall amount to delivery of the contract note at the registered email ID.

12. I/We understand that, for the purposes of meeting the requirements mentioned in point number 7 above, shares lying in my/our demat account can be transferred to following demat accounts of MOFSL :-

For Securities:			
Demat Account Number	Account Type	Demat Account Number	Account Type
1201090016192023	MOFSL - TM/CM – Client Securities Margin Pledge Account (CMPA)	1201090011331840	Client Unpaid Securities Account
IN30186210004894	MOFSL - TM/CM – Client Securities Margin Pledge Account (CMPA)	1201090011331821	Client Unpaid Securities Account
1201090016192019	MOFSL - Client Securities Margin Funding Account (CMFA)	IN30186210004626	Client Unpaid Securities Account
IN30186210004909	MOFSL - Client Securities Margin Funding Account (CMFA)	IN30186210004618	Client Unpaid Securities Account
1100001000024341	ICCL Pledge / Repledge Account	1201090011337350	Collateral Account
IN00115010000053	ICCL Pledge / Repledge Account	1100001000012619	Early Payin Account
1100001100020926	NCL Pledge / Repledge Account	1100001100015975	Early Payin Account
IN00100210009132	NCL Pledge / Repledge Account	1201090000000101	Pool Account
1601430000005208	Clearing Member - Client Securities Margin Pledge Account	1201090000000116	Pool Account
IN30134820155396	Clearing Member - Client Securities Margin Pledge Account	1201090000003024	Pool Account
1201090009744020	Client Collateral Account	1201090003586814	Pool Account
1201090009744867	Client Collateral Account	IN30186210000006	Pool Account
IN30115112161920	Client Collateral Account	IN30186210000022	Pool Account
IN30134820022070	Client Collateral Account	For Commodities :	

		Demat Account Number	Account Type
1201090011336380	Client Collateral Account		
1201090011336395	Client Collateral Account	100559300000027	CCRL Pool Account
IN30186210004659	Client Collateral Account	M110005010000017	Comris Pool Account
IN30186210004851	Client Collateral Account	100559300000050	CCRL Pool Account
1201090011337331	Client Margin Trading Securities Account	100559300000068	CCRL Pool Account
1201090011337346	Client Margin Trading Securities Account	2BNF00000027167	NERL Client Collateral Account
1201090011337365	Margin Trading Securities Client Collateral Account	IN00118410000012	MCXCCL Pledge / Repledge Account
		1100002200000211	MCXCCL Pledge / Repledge Account
		IN00117610000029	NCCL Pledge / Repledge Account
		1100002100002645	NCCL Pledge / Repledge Account

13. I / We confirm that I / We shall abide by all the Regulatory requirements including provisions of PMLA Act. In case you require any further information, I shall furnish the same within 24 hours of your requirement.

I/ We request you to consider this application and enable me to deal in above said Exchange(s) / Segment(s).

(Note: I understand that any change in contact details like Mobile number, Landline phone number, email-id, Permanent and Correspondence address mapped with MOFSL will be made through modification form by submitting the same to MOFSL branch.)

Date: _____



To,
 Motilal Oswal Financial Services Ltd.
 (MOFSL) Palm Spring Centre, 2nd
 Floor, Palm Court Complex, New Link
 Road, Malad (West), Mumbai- 400064

Sub: Disclosure of Commodity wise client Categorisation

Dear Team,

I / We wish to activate commodity segment with MOFSL OR I / we are already existing commodity client of MOFSL.

I / we hereby submit / update my / our commodity-wise categorisation depending on my / our nature of activity, as per regulatory requirement as mentioned below:

I / We further confirm that in case of any change in this categorisation, I / we shall update the same with MOFSL and I / We understand that you may be required to disclose the same to Exchanges.

CATEGORISATION					
Contract / Commodity	Farmer / Farmer Producer Organization (FPO)	Value chain participants (VCPs) / Hedger	Others	Domestic financial institutional investors	Foreign participants
ALUMINIUM	<input checked="" type="checkbox"/>				
BARLEY					
BRASS	<input checked="" type="checkbox"/>				
CARDAMOM					
CASTOR SEED					
CHANA					
COPPER	<input checked="" type="checkbox"/>				
CORIANDER					
COTTON					
COTTONSEEDOILCAKEAKOLA					
CPO - CRUDE_PALM_OIL					
CRUDE OIL	<input checked="" type="checkbox"/>				
GOLD	<input checked="" type="checkbox"/>				
GUAR_SEED10					
GUARGUM_5MT					
JEERA					
KAPASNEW	<input checked="" type="checkbox"/>				
LEAD					
MAIZEKHARIF					
MAIZERABI					
MENTHA OIL					
NATURAL GAS	<input checked="" type="checkbox"/>				
NCDEX FUTURE INDEX	<input checked="" type="checkbox"/>				
NICKEL	<input checked="" type="checkbox"/>				
PEPPER					
RAPE_MUSTARD_SEEDS					
RBDPMOLEIN					
SILVER	<input checked="" type="checkbox"/>				
SOY_OIL					
SOYABEAN					
SUGARM					
TURMERIC					
WHEATKOTA					
ZINC	<input checked="" type="checkbox"/>				

Category Explanation:

- Farmers/FPOs: It includes participants such as farmers, farmers' cooperatives, Farmers Producers Organisations (FPOs) and such entities of like nature.
- Value chain participants (VCPs): It includes participants such as Processors, Commercial users as Dal and Flour Millers, Importers, Exporters, Physical Market Traders, Stockists, Cash & Carry participants, Producers, SMEs/MSMEs & Wholesalers etc., but exclude farmers/FPOs.
- Domestic financial institutional investors: It includes participants such as Mutual Funds (Mfs), Portfolio Managers, Alternative Investment Funds (AIFs), Banks, Insurance Companies and Pension Funds etc., which are allowed to trade in commodity derivatives.
- Foreign participants: It includes participants such as Eligible Foreign Entities (EFEs), NRIs etc. which are allowed to trade in commodity derivatives markets.
- Others: All other participants which cannot be classified in the above categories.

Yours faithfully,

Name of the Client:	
Signature of the Client:	